Please type a plus sign (+) inside this box ⊕

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under CFR 1.53(b))	Attorney Docket No.	**SALTER P09AUSD3**	
	First Named Inventor or Appln Identified: **James N. CURTI, Jam s CHUA and Peter W. SALTER**		
	Title: **NASAL CANNULA**		
	Express Mail Label No.	**EV330995145US**	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent appln. contents.	ADDRESS TO:	**Assistant Commissioner for Patents Box Patent Application P. O. Box 1450 Alexandria, VA 22313-1450**

1. ■ Fee Transmittal Form
(submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status *(see 37 CFR 1.27.)*

3. ■ Specification (Total Pages) [10]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention

- Brief Description of the Drawings *(if filed)*

- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ■ Drawing(s) (35 USC 113) (Total Pages) [1]
4a.■ Submission of Formal Drawings (Total Pages) [1]
5. ■ Oath or Declaration (Total Pages) [2]
  a. ☐ Newly executed (original or copy)
  b. ■ Copy from a prior application (37 CFR 1.63(d))
    (for continuation/divisional with Box 17 completed)

    i. ☐ DELETION OF INVENTOR(S)
  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR).
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. ☐ Nucleotide an/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  a. ☐ Computer Readable Form (CRF)
  b. ☐ Specification Sequence Listing on:
    i. ☐ CD-ROM or CD-R (2 copies); or
    ii. ☐ paper
  c. ☐ Statements verifying identity of above copies

19972 U.S. PTO
10/627502
07/25/03

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney *(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ■ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations
13. ■ Preliminary Amendment
14. ■ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ■ Other: Express Mail Certificate
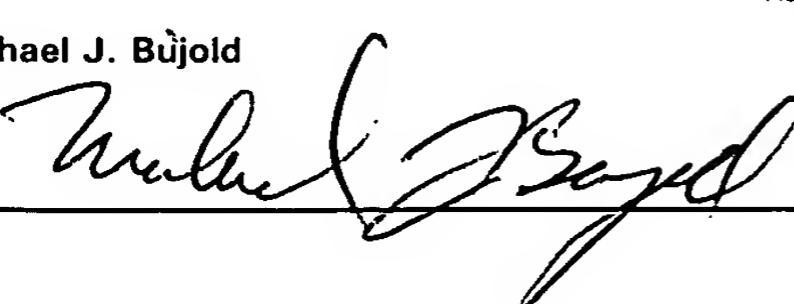
17. If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation ■ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: 09/837,720
Prior application information: *Examiner:* Darwin P. Erezo   Group/Art Unit: 3761
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.
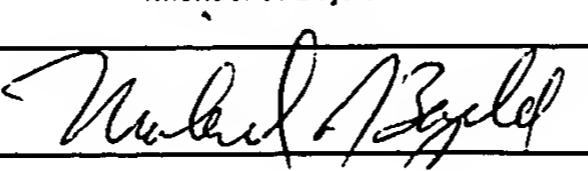
18. CORRESPONDENCE ADDRESS

■ Customer Number: **020210**
Name: DAVIS & BUJOLD, P.L.L.C.
500 North Commercial Street - 4th floor
Manchester, NH 03101-1151
United States of America

Name: **Michael J. Bujold**
Signature:

PATENT & TRADEMARK OFFICE
020210

☐ Correspondence address below
Telephone: 603/624-9220
Telefax: 603/624-9229
E-Mail: patent@davisandbujold.com

Registration No.: **32,018**

Date: **July 25, 2003**

PTO/SB/17 (12-98)
Approved for use through 9/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL

for FY 2003

Patent fees are subject to annual revision

☐ Applicant claims small entity status.
See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT: $ 750

Complete if Known	
Application No.	
Filing Date	
First Named Inventor	James N. CURTI
Examiner Name	Darwin P. Erezo
Group Art Unit	3761
Attorney Docket No.	SALTER P09AUSD3

METHOD OF PAYMENT (check all that apply)

■ Check   ☐ Credit card   ☐ Money Order   ☐ Other   ☐ None

■ Deposit Account:

Deposit Account Number: 04-0213

Deposit Account Name: DAVIS & BUJOLD, P.L.L.C.

The Commissioner is authorized to: *(check all that apply)*
■ Charge fee(s) indicated below   ■ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified account.

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	750
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provision filing fee	___
				SUBTOTAL (1)	$750

2. CLAIMS

		Extra	Fee From Below	Fee Paid
Total Claims	6-20*	= -0-	$18 ($9) x	= -0-
Ind. Claims	2- 3	= -0-	$84 ($42) x	= -0-
Mult.Ind.Claims		=	$280 ($140) x	=

** or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
				SUBTOTAL (2) $-0-

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge-late filing fee/oath	
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for re-examination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Ext.for reply w/in 1 mon	
1252	410	2252	205	Ext.for reply w/in 2 mon	
1253	930	2253	465	Ext.for reply w/in 3 mon	
1254	1,450	2254	725	Ext.for reply w/in 4 mon	
1255	1,970	2255	985	Ext.for reply w/in 5 mon	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a Brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (for reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petition related to provisional applns.	
1806	180	1806	180	Submission of Info.Disclo.Stmt.	
8021	40	8021	40	Recording ea. patent assignment per property (times No.of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For ea.additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Cont.Exam. (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)
*Reduced by Basic Filing Fee Paid   SUBTOTAL (3) $

SUBMITTED BY			Completed *(if applicable)*	
Typed or Printed Name	Michael J. Bujold	Registration Number	32/018	Telephone No. (603) 624-9220
Signature	*[signature]*	Date: July 25, 2003	Deposit Account User ID	04-0213

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	James N. CURTI, James CHUA and Peter W. SALTER
For	:	NASAL CANNULA
Group Art Unit	:	3761
Examiner	:	Darwin P. Erezo
Docket	:	SALTER P09AUSD3

The Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Enclosed please find one (1) sheet of formal drawings which are to be entered in this case.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,
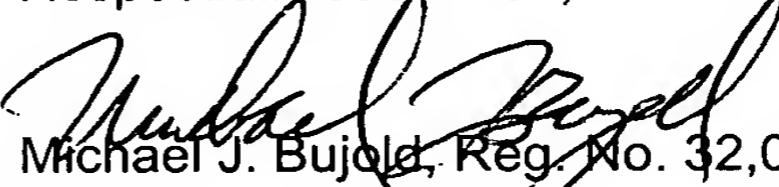
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The Commissioner for Patents
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Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

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Date of Deposit: ___7/25/03___

I hereby state that the following attached paper or fee:

> **Check for $750;**
> **Patent Application Transmittal-1 pg.;**
> **Fee Transmittal Ltr (+Dupl)-1 pg.;**
> **Specification/Claims/Abstract- 10 pgs.;**
> **Submission of Formal Drawings-1 pg.; Drawings - 1 pgs.;**
> **Declaration & Power of Atty- 2 pgs.;**
> **Information Disclosure Statement-1pg.;**
> **PTO Form 1449-1 pg.;**
> **Preliminary Amendment- 10pgs.;**
> **Return Postcard**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Michael J. Bujold
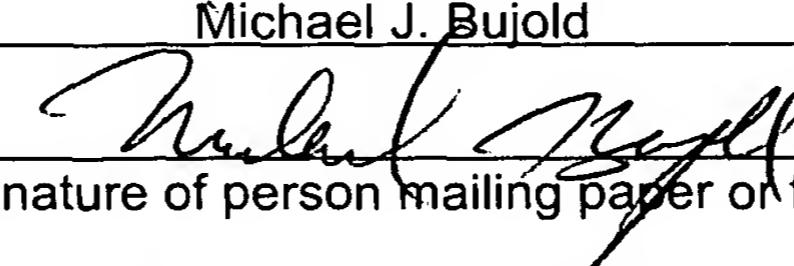
Signature of person mailing paper or fee

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